

# Compliance Risk Management

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## Integrated Risk Management

Technology is evolving at a breakneck pace. So is the regulatory environment around it.

Compliance risk management is no longer a “set it and forget it” endeavor. Noncompliance risks, such as financial penalties, legal actions, reputational harm, and operational disruptions, are ever-present. It can be a struggle to stay updated and adapt quickly, especially if you’re relying on limited resources, outdated systems, or fragmented compliance programs.

What you want is to be proactive, to build trust among stakeholders and foster a culture that thrives in this increasingly complex and dynamic environment of laws, regulations, and industry standards. But simply ensuring consistent adherence across departments, geographies, and functions—while managing the high costs of non-compliance—requires all your attention right now. So how do you get there?

## WHAT WE DO

Proactively addressing compliance risks not only protects your business but also gives you a competitive edge in the marketplace—demonstrating your organization's integrity, reliability, and commitment to excellence.

Further Advisory helps our clients develop governance frameworks aligned with industry standards to strengthen oversight and ensure compliance. Our solutions identify and address compliance vulnerabilities, streamline processes, and implement strategies to mitigate exposure, preparing you to navigate evolving regulatory landscapes with confidence and resilience.

Our team of seasoned experts uncovers the root causes of compliance challenges within your organization. From there, we design a tailored plan to efficiently ensure adherence to regulations, reduce risks, and foster a culture of accountability, transforming compliance risk management into a powerful driver of sustainable growth and resilience.

Compliance Focus Areas:

- ✓ Anti-Money Laundering, Know Your Customer, Payment Card Industry Data Security Standard (AML, KYC, PCIDSS)
- ✓ Mortgage - Truth in Lending Act & Real Estate Settlement Procedures Act (TILA & RESPA)
- ✓ Data Management Compliance - General Data Protection Regulation & California Consumer Privacy Act (GDPR & CCPA)

## COMPLIANCE RISK APPROACH

Evaluate Current State Risk Prevention & Management

Implement Monitoring, Testing & Review Cycle into IRM

Validate Regulatory Requirements and Best Practices

Identify People, Process, and Technology Gaps

Define Future State Integrated Risk Management Approach

## DELIVERABLES



Ongoing KPI/KRI Management and Monitoring



Compliance Program Analysis with Design and Mobilization Plan



Compliance Gap Analysis Remediation Approach and Ongoing Management Dashboard



Technology Compliance Design and Delivery Frameworks



AML & Financial Crimes Compliance Frameworks

## ABOUT FURTHER

We are a boutique management consultancy focused on converting strategic vision into business reality.

We always go the extra mile, equipping our clients with critical insights and plans for navigating challenges and accelerating change.



**Michael Morley**  
Partner

CONTACT US!

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